## Functional models of full ground, and general, reference cells

Work in Progress

Ohad Kammar <ohad.kammar@cs.ox.ac.uk> joint work with Sean Moss

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#### Kinds of local state

Semantic complications with dynamic allocation of arbitrary type:

- Locality: freshness of newly allocated cell.
- Non-ground: stored values can manipulate the memory store. E.g.  $ref(bool \rightarrow bool)$ .
- Full storage: stored values may depend on store shape.
   E.g., inhabitants ref (ref bool) require inhabitants of ref bool.

This talk: full ground local state.

#### Success stories

- Operational semantics
- Step-indexing [Birkedal et al.'10 etc]
- Strategies over games



### Denotational semantics for full ground state

- ► Sets with structure and structure preserving functions.
- Monadic (or adjunctive, following Levy'02).
- Extensional.

#### **Applications**

- Validation of compiler transformations.
- Analysis of ML's value restriction.
- Semantic correctness of Haskell's runST.

#### This talk

#### Contribution Tutorial and discussion

- General setting.
- Effect masking.
- Monads (not-quite) for full ground references.
- Denotational semantics for Haskell's runST.

# Ground types [Levy'04, Murawski and Tzevelekos'12]

#### Ground types

Parameterised by a pair  $\langle \mathbb{C}, \mathit{Type} \rangle$ , where

- ▶  $\mathbb{C}$  a countable set of storable type names C;
- ▶ *Type* :  $\mathbb{C} \to \mathbb{G}$  function

where the set  $\ensuremath{\mathbb{G}}$  of ground types is:

$$G ::= 0 \mid G_1 + G_2 \mid 1 \mid G_1 \times G_2 \mid \text{ref } C$$

#### Rationale

We can include circular data structures without complicating the semantics further.

For example, taking  $\mathbb{C} := \{ linked\_list \}$ , and:

$$Type(linked\_list) := 1 + (bool \times ref linked\_list)$$



#### Worlds

#### The category $\mathbb{W}$

- ▶ Worlds w consist of:
  - $\underline{w} = \{0, \dots, w-1\}$  a finite ordinal; and
  - ▶ a function  $w: w \to \mathbb{C}$

For example with  $C = \{ int, linked\_list \}$  and

$$w = \{0 : int, 1 : linked\_list, 2 : int \}$$
  
$$w' = \{0 : linked\_list, 1 : int, 2 : int, 3 : int \}$$

- ▶ Morphism  $\rho: w \to w'$  are type-name-preserving injections
  - $ho: \underline{w} \rightarrowtail \underline{w}'$ , such that:
  - for all  $\ell \in \underline{w}$ , we have  $w'(\rho(\ell)) = w$ .

In the example above,  $\rho: w \to w'$ :

$$\rho(i) := (i-1) \bmod 4$$



### Independence structure

W has a monoidal structure given by ordinal addition and relabelling:

$$\underline{w_1 \oplus w_2} \coloneqq \underline{|w_1| + |w_2|}$$

$$w_1 \oplus w_2(\ell) \coloneqq \begin{cases} w_1(\ell_1) & \ell = \ell_1 \in \underline{w}_1 \\ w_2(\ell_2) & \ell = |\underline{w}_1| + \ell_2 \end{cases}$$

And its coslices  $w/\mathbb{W}$  have monoidal structure:

whose action on the ordinals is given by:

$$\underline{\rho_1 \oplus_w \rho_2} \coloneqq \underline{|w_1| + |w_2| - |w|}$$



## General setting

### The functor category $\mathcal{V} := [\mathbb{W}, \mathbf{Set}]$

- Bi-cartesian closed: interpret finite sums, products, and function spaces.
- Interpret ground reference types:

$$\llbracket \operatorname{ref} C \rrbracket w \coloneqq w^{-1}[C] \quad \llbracket \operatorname{ref} C \rrbracket \rho \coloneqq \rho|_{w^{-1}[C]}$$

For example with  $C = \{ int, linked\_list \}$  and

$$w = \{0 : \mathsf{int}, 1 : \mathsf{linked\_list}, 2 : \mathsf{int}\}$$

we have

$$\llbracket \mathbf{ref} \ \mathbf{int} \ \rrbracket \ w \coloneqq \{0,2\}$$

We want a monad  $T: \mathcal{V} \to \mathcal{V}$ .



#### Correctness criteria

#### Semantics for local state

- Allocation, dereferencing, assignment.
- Usual equations [Levy'08].
- Adequacy.

### Effect masking

A monad  $T: \mathcal{V} \to \mathcal{V}$  validates <u>effect masking</u> when, for every two constant functors  $\Gamma, A: \mathbb{W} \to \mathbf{Set}$ , every morphism  $M: \Gamma \to TA$  factors uniquely:

$$\Gamma \xrightarrow{M} TA$$

$$= \text{return}$$

$$A$$

(Natural, and holds for the ground state monad.)

## Two not-quite-right monads

#### Not enough structure

A store is given by:

$$\mathbb{S}(w',w) \coloneqq \prod_{\ell \in \underline{w}'} \int^{w/\mathbb{W}} \left[ \mathsf{Type}(w'(\ell)) \right]$$

with the covariant action given by the independence monoidal structure  $\bigoplus_{w}$  and the monad is given by:

$$TAw := \mathbb{S}(w, w) \to \int^{w/\mathbb{W}} \mathbb{S} \times A$$

- Analogous to ground case.
- Validates effect masking.

No obvious interpretation for dereferencing.



## Two not-quite-right monads

#### Too much structure

A store is given by:

$$\mathbb{S}(w',w) \coloneqq \prod_{\ell \in \underline{w}'} \llbracket \mathit{Type}(w'(\ell)) \rrbracket w$$

and the monad is given by:

$$TAw := \int_{\rho':w \to w'} \mathbb{S}(w', w') \to \int^{\rho'':w \to w''} \mathbb{S}(\rho' \oplus_w \rho'', \rho' \oplus_w \rho'') \times A(\rho' \oplus_w \rho'')$$

- More natural store.
- ▶ Explicit use of  $\bigoplus_{w}$
- Interprets the operations.

Doesn't validate effect masking.

### runST - Haskell syntax

```
Syntax
M ::=
                                                  term
                                                      variable
                                                      coproducts deconstructors
                                                      unit value
       \langle M_1, M_2 \rangle
                                                      pairing
       absurd M
                                                      empty
                                                                     deconstructors
       match M with \{\iota_1 x \to M_x, \iota_2 y \to M_v\}
                                                      coproducts
       match M_1 with () in M_2
                                                      unit type
       match M_1 with \langle x, y \rangle in M_2
                                                      pairs
       \lambda x.M
                                                      abstraction
       M_1 M_2
                                                      application
       return M
                                                      monadic return
       M_1 \gg M_2
                                                      monadic bind
       \alpha.letref x_1 := M_1, \ldots, x_n := M_n in M
                                                      allocation [Lev'02]
       1M
                                                      dereferencing
       M_1 := M_2
                                                      assignment
       runST M
                                                      runST
```

## runST -Haskell kinds and types

#### Syntax

```
\begin{array}{lll} \alpha,\beta & & \text{region variables} \\ \Delta ::=& \alpha_1,\dots,\alpha_n & \text{kinds} \\ A ::= & \text{types} \\ & G & \text{ground types} \\ & \mid A_1+A_2 & \text{coproducts} \\ & \mid A_1\times A_2 & \text{products} \\ & \mid A_1\to A_2 & \text{functions} \\ & \mid T_{\alpha}A & \text{ST monad} \\ G ::=& 0 \mid G_1+G_2 \mid 1 \mid G_1\times G_2 \mid \mathbf{ref} \ C \ \text{ground types} \end{array}
```

## runST -Haskell kind and type system

### Kinding judgements $\Delta \vdash A$

$$\frac{\alpha_1,\ldots,\alpha_n\vdash A}{\alpha_1,\ldots,\alpha_n\vdash T_{\alpha_i}A}$$

Typing judgements  $\Delta$ ;  $\Gamma \vdash M : A$ 

$$\frac{\Delta; \Gamma, x_1 : \mathbf{ref}_{\alpha} \ C_1, \dots, x_n : \mathbf{ref}_{\alpha} \ C_n \vdash M : T_{\alpha}A}{\text{for all i: } \Delta; \Gamma, x_1 : \mathbf{ref}_{\alpha} \ C_1, \dots, x_n : \mathbf{ref}_{\alpha} \ C_n \vdash M_i : TypeC_i}{\Delta; \Gamma \vdash \alpha.\mathbf{letref} \ x_1 := M_1, \dots, x_n := M_n \ \mathbf{in} \ M : T_{\alpha}A}$$

$$\frac{\Delta; \Gamma \vdash M_1 : T_\alpha \operatorname{ref}_\alpha C}{\Delta; \Gamma \vdash M_1 := M_2 : \operatorname{unit}} \qquad \frac{\Delta; \Gamma \vdash M : \operatorname{ref}_\alpha C}{\Delta; \Gamma \vdash M : TypeC}$$

$$\frac{\Delta \vdash \Gamma, A \qquad \Delta, \alpha; \Gamma \vdash M : T_{\alpha}A}{\Delta; \Gamma \vdash \mathbf{runST} \ M : A}$$



### runST - Haskell semantics

Kinds denote categories of worlds:

$$\llbracket \vec{\alpha} \rrbracket := \prod_{i \in |\vec{\alpha}|} \mathbb{W}$$

Types  $\Delta \vdash A$  denote objects in  $\mathcal{V}_{\llbracket \Delta \rrbracket} := [\llbracket \Delta \rrbracket, \mathbf{Set}]$ . The monad constructor is interpreted by:

$$\llbracket T_{\alpha_i} A \rrbracket \langle w_1, \ldots, w_n \rangle := T(A \langle w_1, \ldots, w_{i-1}, -, w_{i+1}, \ldots, w_n \rangle) w_i$$

Terms  $\Delta$ ;  $\Gamma \vdash M : A$  denote  $\mathcal{V}_{\llbracket \Delta \rrbracket}$  morphisms:

$$\llbracket M \rrbracket : \llbracket \Gamma \rrbracket \to \llbracket A \rrbracket$$

Weakening of a type by  $\alpha$ :

$$\frac{\Delta \vdash A}{\Delta, \alpha \vdash A}$$

is interpreted by a presheaf constant in the  $\alpha$ -argument, and so the effect masking property allows us to interpret **runST**.

## Concluding remarks

- Still work in progress!
- ► Two monads (not) for local full ground references.
- Effect masking property and its applications.